

# DSP Toolkit

22-23

Webinar begins at 12.30.  
Please mute your microphone.

September 2022 John Hodson



# Data Security and Protection Toolkit

*What is it?*

On line Self-Assessment

External assurance

Checklist (DP/Cyber  
Poverty)

Gateway to systems

Mix of measures  
(descriptions/outcomes/  
checks)

**NHS Digital** Data Security and Protection Toolkit

My account Logout

Test Organisation Change organisation Organisation search News Help

Assessment Provide audit details Report an incident Admin

### Complete your assessment for 2022-23 (v5)

Data Security and Protection Standards for health and care (opens in a new tab) sets out the National Data Guardian's (NDG) data security standards. Completing this Toolkit self-assessment, by providing evidence and judging whether you meet the assertions, will demonstrate that your organisation is working towards or meeting the NDG standards.

#### NDG Standards

- 1 Personal confidential data
- 2 Staff responsibilities
- 3 Training
- 4 Managing data access
- 5 Process reviews
- 6 Responding to incidents
- 7 Continuity planning
- 8 Unsupported systems
- 9 IT protection
- 10 Accountable suppliers

#### Progress

Go to progress dashboard and reports

53 of 113 mandatory evidence items provided

0 of 36 assertions confirmed

[Publish Assessment](#) [View previous publications](#)

#### Filters

**Mandatory**

- Mandatory (34)
- Not Mandatory (2)

**Assertion Status**

- Met (8)
- Not Met (28)
- Other (2)

**Confirmed**

- Not Confirmed (36)

**Owner**

- No Owner (36)

[Back to the top](#)

#### 1 Personal confidential data

All staff ensure that personal confidential data is handled, stored and transmitted securely, whether in electronic or paper form. Personal confidential data is only shared for lawful and appropriate purposes.

[Get the big picture on the data security and protection standards \(opens in a new tab\).](#)

**1.1 The organisation has a framework in place to support Lawfulness, Fairness and Transparency**

**Owner:**  
No Owner [Assign Owner](#)

1.1.1 State your organisation's Information Commissioner's Office (ICO) registration number.	Mandatory	COMPLETED
1.1.2 Your organisation has documented what personal data you hold, where it came from, who you share it with and what you do with it.	Mandatory	COMPLETED
1.1.3 Transparency information: Notice and Rights for individuals accessible to the public.	Mandatory	COMPLETED
1.1.4 Your business processes	Mandatory	COMPLETED

Sector baseline standard

High quality data source

DHSC assurance

Threat horizon scanning

Raising maturity  
(achievable at a stretch)

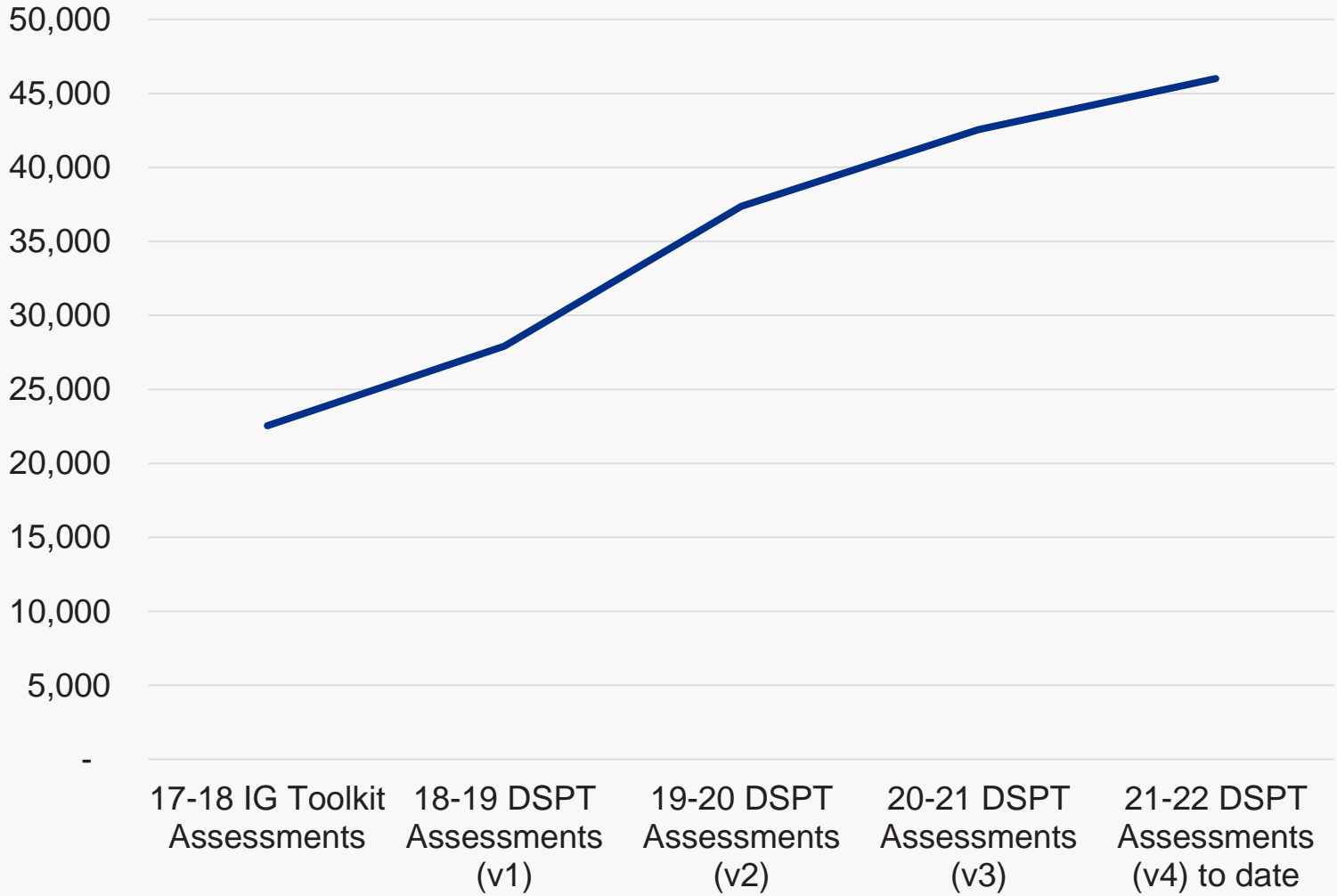


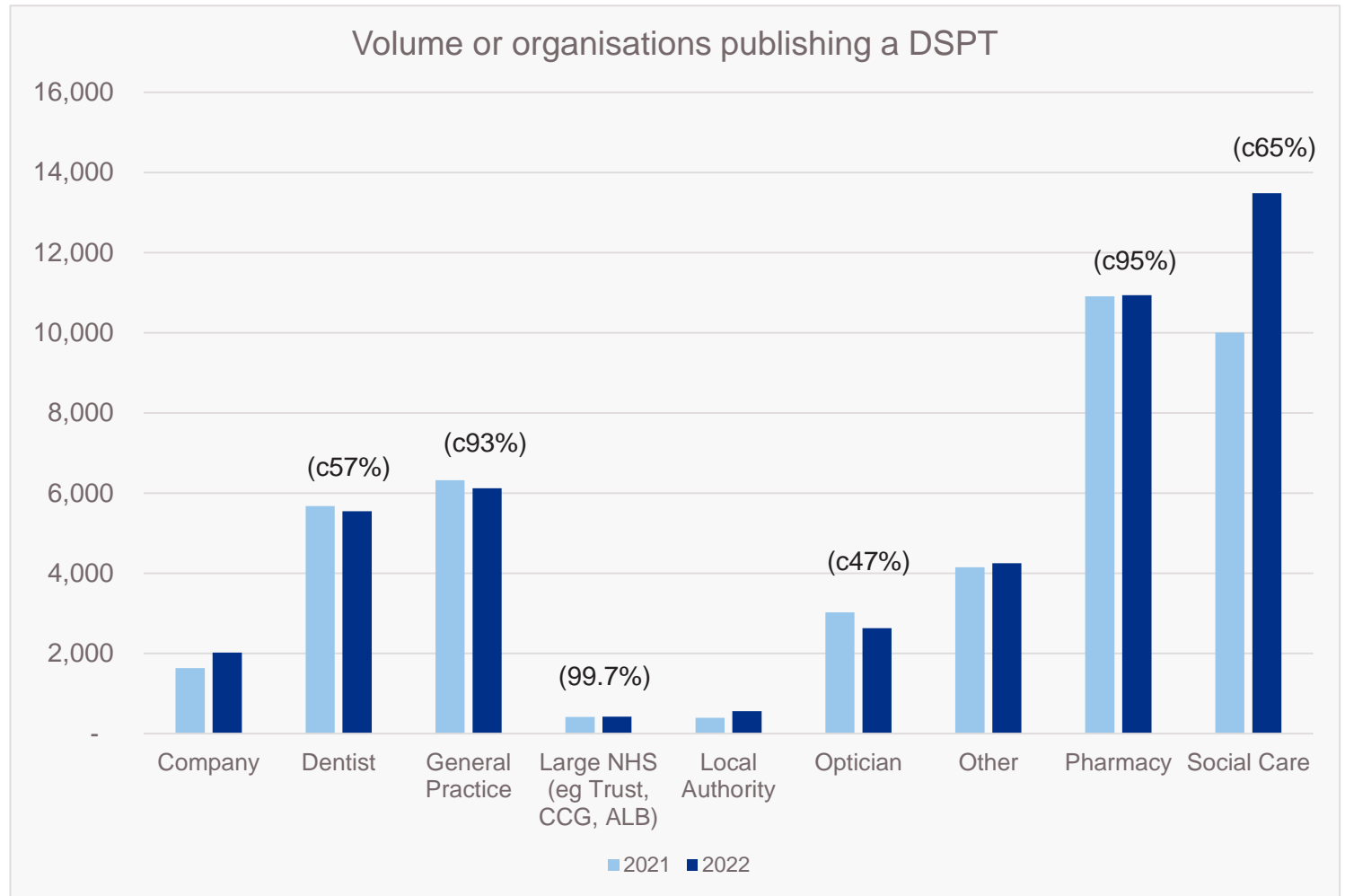
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# DSP Toolkit Facts and Figures



# Number of Assessments Published





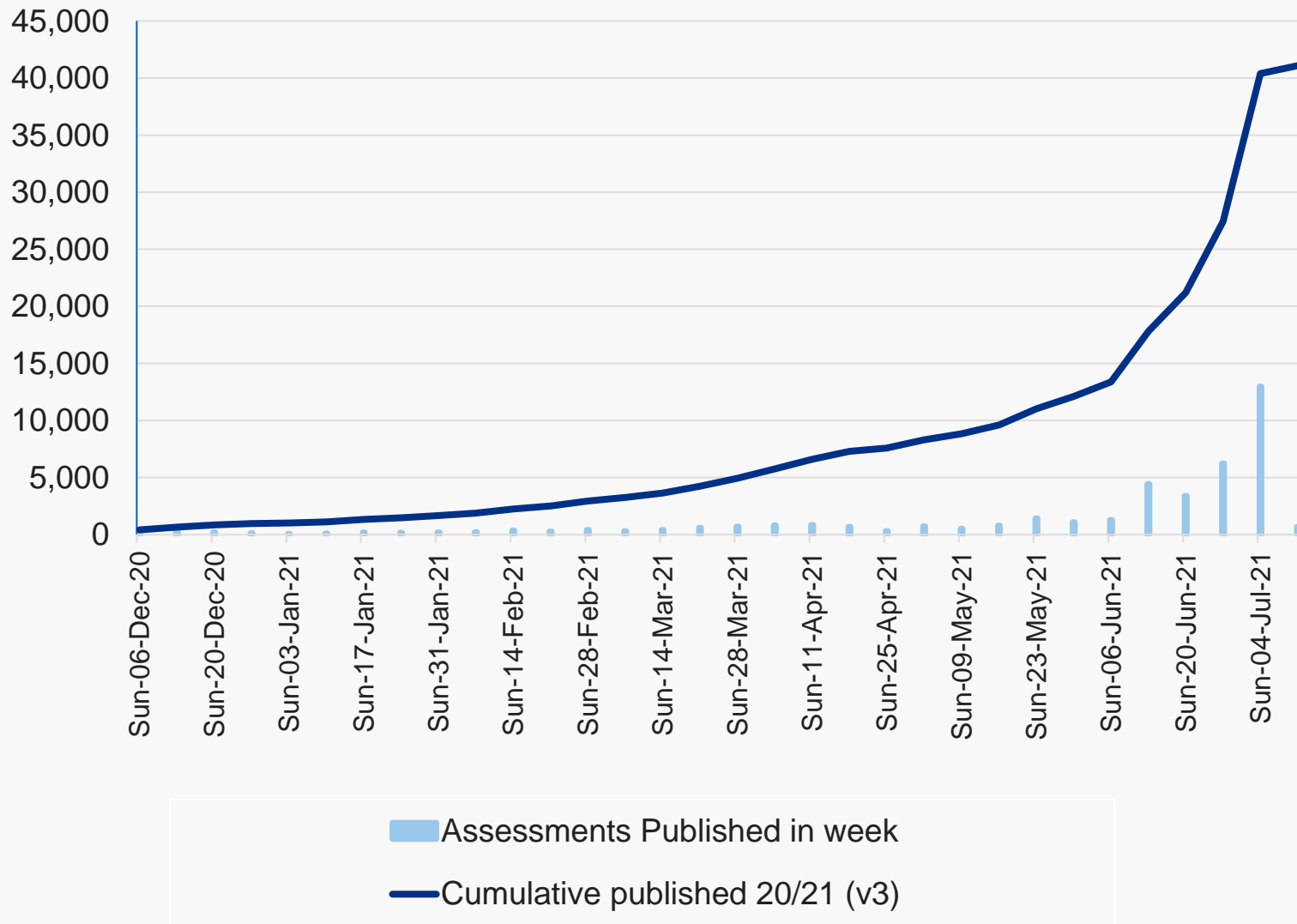
The vast majority of organisations that complete the DSPT are smaller organisations.

However, takeup as a proportion of all organisations in their sector remains highest in GP, Pharmacy and Large NHS (Trusts, CCGs etc).

**APPROXIMATE** proportional takeup is shown in brackets



# DSPT Weekly Published Self-Assessments 20 /21



# DSP Toolkit for 21- 22



# Transition to 22-23 Toolkit

**22-23 Standard  
agreed and  
available at:**

[https://www.dsptoolkit.nhs.uk/  
News/22-23-DSP-Toolkit-  
evidence-items](https://www.dsptoolkit.nhs.uk/News/22-23-DSP-Toolkit-evidence-items)

**Big Picture guides  
available in  
September 2022**

**Deadline is  
30th June  
2023**

**Assertions  
and  
checkboxes  
are unticked**

**Responses  
from 22-23  
transferred  
where  
evidence  
item  
unchanged**

**Evidence  
item  
numbers  
have been  
Reordered  
and gaps  
removed**

**Minor  
changes  
overall**



# Evidence items in the DSP Toolkit



## Mandatory evidence items

	Category 1 organisations	Category 2 organisations	Category 3 organisations	Category 4 organisations
Total number of mandatory evidence items 2021-22 v4	110	89	43	29
Total number of mandatory evidence items 2022-23 v5	113	N/A	42	28

## Total evidence items

	Category 1 organisations	Category 2 organisations	Category 3 organisations	Category 4 organisations
Total number of evidence items 2021-22 v4	142	137	85	42
Total number of evidence items 2022-23 v5	131	N/A	78	49



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# Changes



# Changes

**Question on the National Data Opt Out (1.2.4) is mandatory again**

**One question removed (4.1.2)**

**Question on monitoring compliance with policies 1.3.2 has been re-worded the 'ask' is much the same**

**Slightly rephrased tooltips  
E.g. 1.1.1, 1.1.2, 1.1.3, 1.3.1,**

**Updated Organisation Profile Questions**

**Questions and/or tooltips with dates changing to "in the last 12 months"**

**Staff Training largest impact**

# What is means moving to last twelve months before publication

Publication Date = 30.06.2023

Training included is:

01.07.2022 – 30.06.23

Publication date = 01.06.2023

Training included is:

02.06.2022 – 01.06.2023

Publication date = 01.04.2023

Training included is:

02.04.2022 – 01.06.2023

Publication date = 01.10.2022

Training included is:

02.10.2021 – 01.10.2022



# What to do

**1**

**Login and familiarise yourself**

**Review the evidence items and tooltips**

**2**

**Update your answers**

**Prioritise mandatory ones**

**To achieve Standards Met**

**3**

**Confirm the assertions**

**Update Organisation Profile Questions**

**4**

**Publish when you are ready**

**Deadline is 30<sup>th</sup> June 2023**

**But you don't need to wait so long**



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# Help and Support



# Resources to help

DSP Toolkit website has two main documents to help and the [exeter.helpdesk@nhs.net](mailto:exeter.helpdesk@nhs.net).

## Overview

<https://www.dsptoolkit.nhs.uk/Help/overview>

## Videos

<https://www.digitalsocialcare.co.uk/latest-guidance/video-guides-how-to-complete-the-data-security-protection-toolkit/>

## Standards met guide

<https://www.digitalsocialcare.co.uk/latest-guidance/completing-standards-met-on-the-data-security-and-protection-toolkit/>

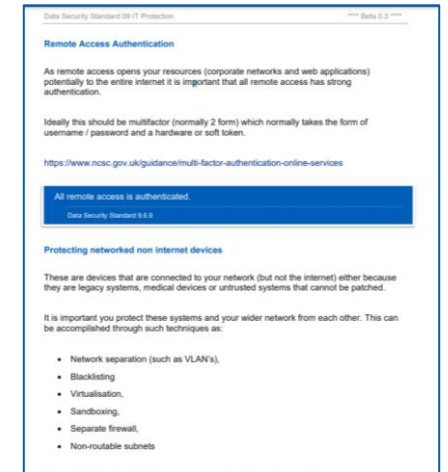
## Republishing your DSP Toolkit

<https://www.digitalsocialcare.co.uk/data-security-protecting-my-information/data-security-and-protection-toolkit/published-before-review-and-republish-your-dspt/>

## Big Picture Guides

<https://www.dsptoolkit.nhs.uk/Help/big-picture-guides>

Give background to the requirement and talk more broadly about the subject area with links to wider reading



# Data Security and Protection Toolkit

2021-22 (version 4)



## Test Small Organisation

2 Gelder Road, Leeds, West Yorkshire, England, LS12 3UF



**Standards  
Met**

Date of publication: **9 July 2022** (valid to: **30 June 2023**)

This organisation has completed a Data Security and Protection Toolkit self-assessment to demonstrate it is practising good data security and that personal information is handled correctly.

**[www.dsptoolkit.nhs.uk/](http://www.dsptoolkit.nhs.uk/)**



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# Demonstration



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**Any questions?**





**NHS**  
Digital

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# Thank You



**@nhsdigital**



**company/nhs-digital**



**digital.nhs.uk**