

DSP Toolkit

What's new for 21-22

September 2021 John Hodson



Assessment

Data Security and Protection Standards for health and care (opens in a new tab) sets out the National Data Guardian's (NDG) data security standards. Completing this Toolkit self-assessment, by providing evidence and judging whether you meet the assertions, will demonstrate that your organisation is working towards or meeting the NDG standards.

- 1 Personal Confidential Data
- 2 Staff Responsibilities
- 3 Training
- 4 Managing Data Access
- 5 Process Reviews
- 6 Responding to Incidents
- 7 Continuity Planning
- 8 Unsupported Systems
- 9 IT Protection
- 10 Accountable Suppliers

1 Personal Confidential Data

All staff ensure that personal confidential data is handled, stored and transmitted securely, whether in electronic or paper form. Personal confidential data is only shared for lawful and appropriate purposes.

[Get the big picture on the data security and protection standards \(opens in a new tab\).](#)

1.1 There is senior ownership of data security and protection within the organisation

Owner:

Christopher Clark [Change](#)

1.1.1	Has SIRO responsibility for data security been assigned?	Mandatory	
1.1.2	List the names and job titles of your key staff with responsibility for data protection and/or security.	Mandatory	COMPLETED
1.1.3	Are there clear lines of responsibility and accountability to named individuals for data security?	Mandatory	
1.1.4	Is data security direction set at board level and translated into effective organisational practices?	Mandatory	COMPLETED

Awaiting confirmation by assertion owner

1.2 There are clear data security and protection policies in place and these are understood by staff and available to the public

Progress

Progress dashboard and reports

18 of 110 mandatory evidence items provided

0 of 42 assertions confirmed

Your assessment status (if you were to publish now)

Standards NOT Met

[Publish Assessment](#)

[View previous publications](#)

Filter by:

Mandatory

Mandatory (37)

Not Mandatory (5)

Assertion Status

Met (4)

Not Met (33)

Other (5)

Confirmed

Not Confirmed (42)

Owner

No Owner (37)

Chamini Seneviratne (1)

Christopher Clark (1)

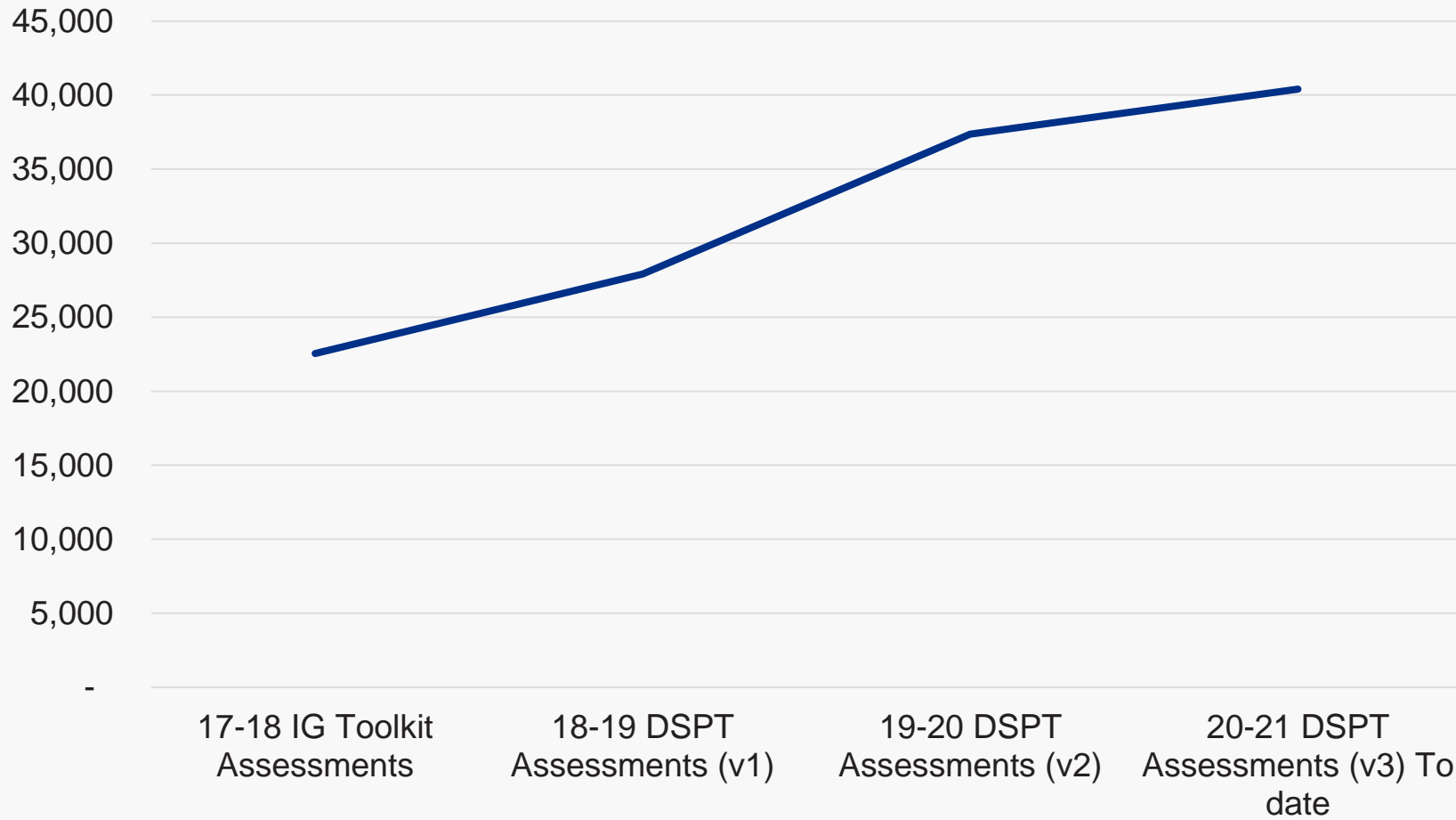
Harry Hawkins (1)

Load Rush (2)

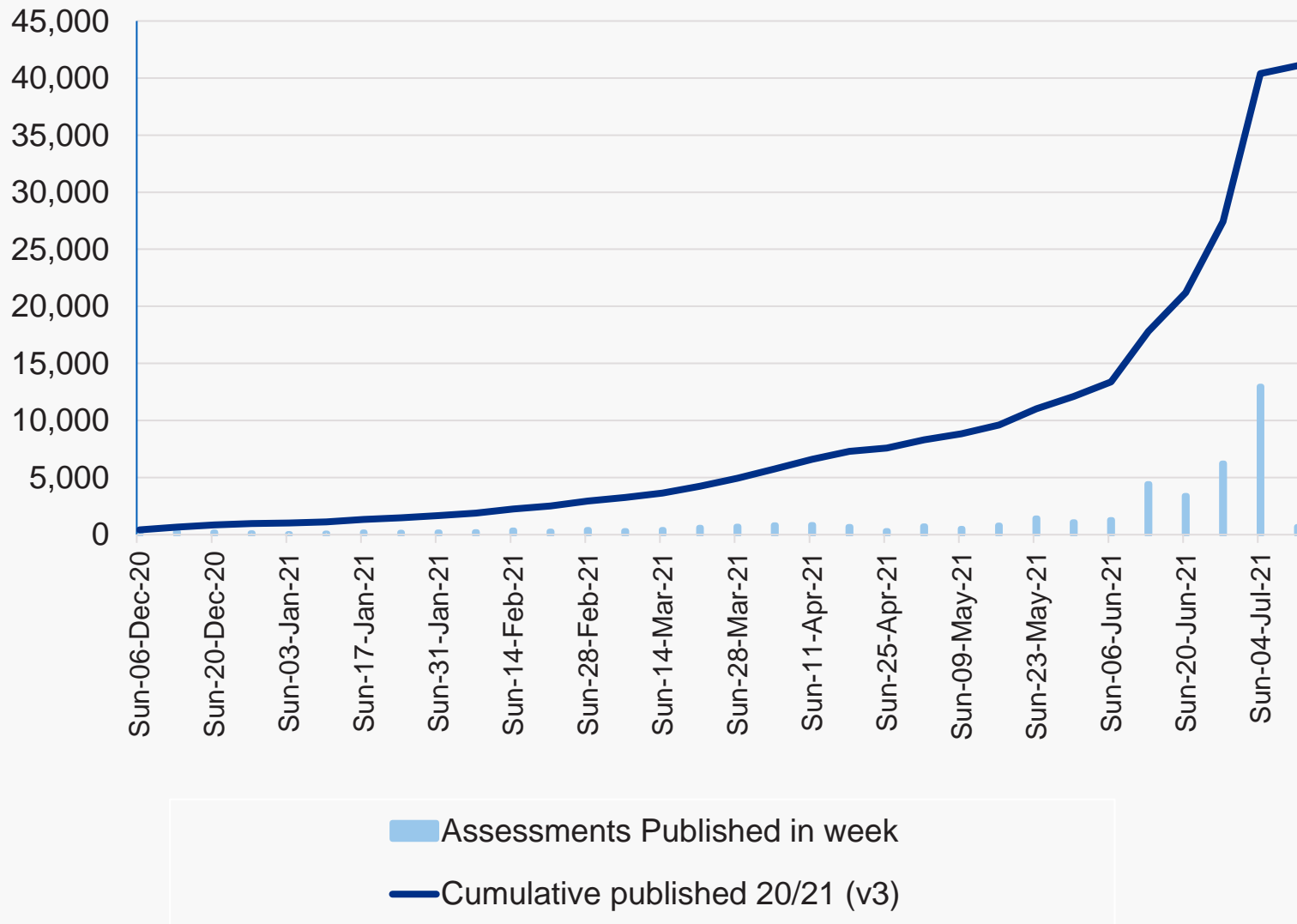
DSP Toolkit 20-21 Results



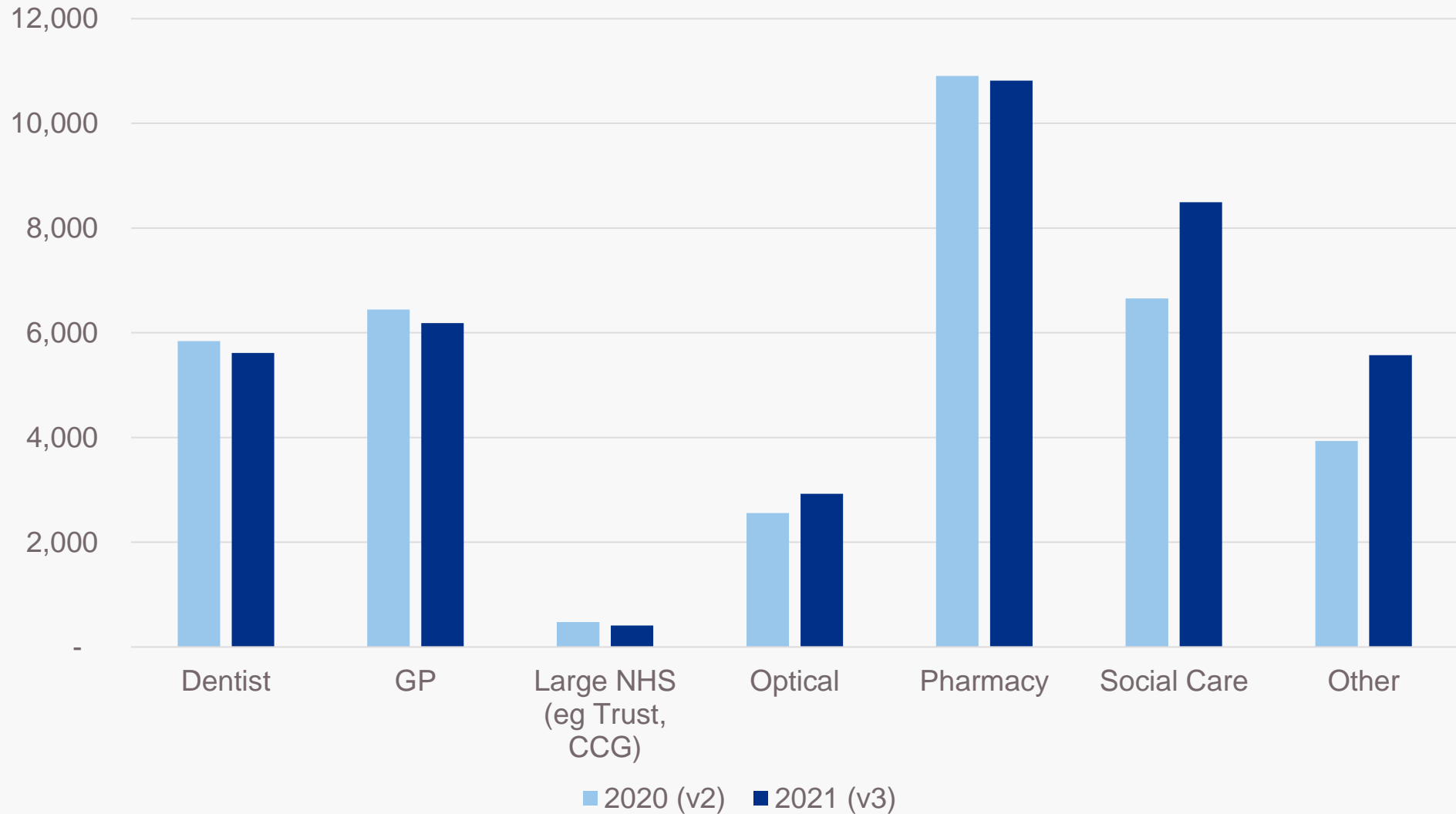
Number of Assessments Published



DSPT Weekly Published Self-Assessments 20 /21



DSPT Take up by sector



DSP Toolkit for 21- 22



Transition to 21-22 Toolkit

**21-22 Standard
agreed and
available at:**

[https://www.dsptoolkit.nhs.uk/
News/21-22-DSP-Toolkit-
evidence-items](https://www.dsptoolkit.nhs.uk/News/21-22-DSP-Toolkit-evidence-items)

**Audit Guide
available at:**

<https://www.dsptoolkit.nhs.uk/News/auditnews>

**Deadline is
30th June
2022**

**Baseline 28th
February
2022 for
Trusts,
CCGs, CSUs
and ALBS**

**Responses
from 20-21
transferred
where
evidence
item
unchanged**

**Assertions
are
unconfirmed**

**Evidence
item
numbers
have been
Reordered
and gaps
removed**

**Minor
changes
overall**

21-22 DSP Toolkit – Key Changes

Incorporate IG Simplification

NHS X IG Team advice on removing duplication, aligning with updated guidance

ICO Data Protection

Toolkit has been aligned to the ICO Data Protection Self-Assessment

Feedback Review

DSPT evidence items reviewed and updated based on feedback, support calls and comments from stakeholders. Evidence items reviewed

Connected Medical Devices

Include new requirements for Connected Medical Devices

CSU Technical Requirements

Additional technical requirements reflecting the key role CSU play

Technical requirements strengthened

Specific Improvements to requirements on logging, assets, unsupported systems, patching

Extra non-Mandatory requirements

Connected Medical devices, Support Systems, patching .

Evidence items in the DSP Toolkit



Mandatory evidence items

	Category 1 organisations	Category 2 organisations	Category 3 organisations	Category 4 organisations
Total number of mandatory evidence items 2020-21 v3.1	111	89	45	31
Total number of mandatory evidence items 2021-22 v4	110	89	43	29

Total evidence items

	Category 1 organisations	Category 2 organisations	Category 3 organisations	Category 4 organisations
Total number of evidence items 2020-21 v3.1	149	146	92	46
Total number of evidence items 2021-22 v4	142	137	85	42



What is 'new' Mandatory requirement



Mandatory for 21-22

1.2.4	Is your organisation compliant with the national data opt-out policy?
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What is no longer a Mandatory requirement



Not Mandatory for 21-22

1.8.3	What are the top three data and cyber security risks in your organisation and how does your organisation plan to reduce those risks?
4.3.1	Have all the administrators of your organisation's IT system(s) signed an agreement to hold them accountable to higher standards?

What are the new Non-Mandatory requirements



Non Mandatory Evidence items

1.1.6	Your organisation has reviewed how you ask for and record consent.	Provide details in the comments. Further details https://ico.org.uk/for-organisations/guide-to-data-protection/guide-to-the-general-data-protection-regulation-gdpr/lawful-basis-for-processing/consent/
9.3.8	What is the organisations data security assurance process for medical devices connected to the network.	This should a policy /process document or full explanation covering how the organisation assures data security during the full life cycle of the medical device.

**Where has the
wording been
tweaked**



Date Changes

3.1.1	Has a training needs analysis covering data security and protection, and cyber security, been completed since 1st July 2021?
3.2.1	Have at least 95% of staff, directors, trustees and volunteers in your organisation completed training on data security and protection, and cyber security, since 1st July 2021?



Help and Support



Resources to help

DSP Toolkit website has two main documents to help and the exeter.helpdesk@nhs.net.

Overview

<https://www.dsptoolkit.nhs.uk/Help/overview>

Videos

<https://www.digitalsocialcare.co.uk/latest-guidance/video-guides-how-to-complete-the-data-security-protection-toolkit/>

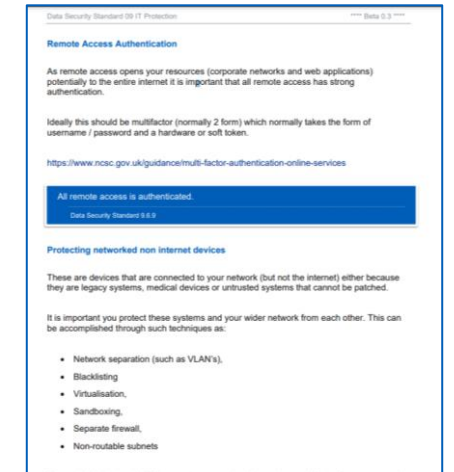
Standards met guide

<https://www.digitalsocialcare.co.uk/latest-guidance/completing-standards-met-on-the-data-security-and-protection-toolkit/>

Big Picture Guides

<https://www.dsptoolkit.nhs.uk/Help/big-picture-guides>

Give background to the requirement and talk more broadly about the subject area with links to wider reading



Any questions?





NHS
Digital

Thank You



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digital.nhs.uk